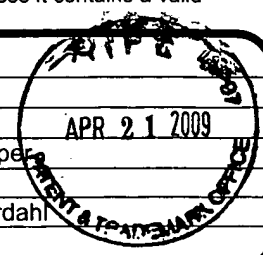


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Substitute for form 1449/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  Date Submitted: April 21, 2009 <i>(use as many sheets as necessary)</i>		Application Number	10/677857
		Filing Date	10/3/2003
		First Named Inventor	Eugene R. Cooper
		Art Unit	1651
		Examiner Name	Thane E. Underdahl
Sheet 1 of 2	Attorney Docket Number	029318-0981	



## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	*5,298,262	03/1994	Na et al.	
	A2	5,972,389	10/1999	Shell et al.	
	A3	6,004,582	12/1999	Faour et al.	

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Documents	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
	B1	WO 98/31360	07/23/1998	Stamm		
	B2	WO 00/13672	03/16/2000	Jain et al.		
	B3	*EP 0 600 532 A2	06/08/1994	Sterling Winthrop Inc.		
	B4	JP 6-227967	08/16/1994	Sterling Winthrop Inc.	*Eng. Abstract and U.S. and EP Equivalent	

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>
	C1	Notice of Rejections for Japanese Patent Application No. 2001-583733, dated Jan. 6, 2009, 5 pgs.	
	C2	Office Action for related U.S. Patent Application No. 10/701,064, dated 2/12/2009, 13 pgs.	
	C3	Notice of Rejections for Japanese Patent Application No. 2001-529425, dated Jan. 6, 2009, 5 pgs.	
	C4	Calvo et al., "Development of Positively Charged Colloidal Drug Carriers: Chitosan-Coated Polyester nanocapsules and Submicron-Emulsions," Colloid. Polym. Sci., 275, pp. 46-53 (1997).	

Examiner Signature	Date Considered
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		<b>Art Unit</b>	1651
		<b>Examiner Name</b>	Thane E. Underdahl
<b>Sheet</b>	2	<b>of</b>	2
		<b>Attorney Docket Number</b>	029318-0981

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	C5	Rock et al., "Control of Calcium Carbonate Particle Size and Shape by Precipitation from CTAB/Alcohol/Hexadecane Mixtures," Colloid. Polym. Sci., 275, pp. 893-896 (1997).	
	C6	Office Action for related U.S. Patent Application No. 11/979,231, dated March 13, 2009, 15 pgs.	

<b>Examiner Signature</b>		<b>Date Considered</b>	
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